

FINANCIAL INSTITUTION CLAIM APPLICATION*

NOTE: A Participating Financial Institution ("PFI") is required to notify the Authority within 60 calendar days after it has charged off all or part of a Qualified Loan as a result of default by a Borrower. If the form is filled out properly and the PFI has faithfully complied with the Program regulations, the Authority will authorize the Program Trustee to reimburse the PFI from the PFI's Loss Reserve Account within 30 calendar days of receipt.

Participating Financial Institution Information

PFI's Name: _____ PFI ID #: _____
PFI Contact: Name: _____ Address: _____
Phone: _____ Email: _____

Loan Information (Please attach loan history report.)

Loan Enrollment Date: _____ Loan Enrollment Number: _____
PFI Loan Identification Number: _____ Original Principal Amount of Loan: _____
Charge-off Amount: _____ Date of Charge-off: _____
Is this loan secured? ☐ Yes ☐ No If yes, form of security: _____
Have enforcement proceedings begun? ☐ Yes ☐ No

Claim Information

Outstanding Principal \$ _____
Accrued Interest \$ _____
Out-of-pocket expenses \$ _____
(Attach detailed explanation)
Total Claim Amount \$ _____

PFI's priority of claim (If two or more claims filed by PFI): _____

Participating Financial Institution Certifications

- The PFI certifies that: ☐ notice of charge-off of this Qualified Loan was filed with the Authority as required by Section 10056 of the Program regulations, and that ☐ such charge-off was made in a manner consistent with the Participating Financial Institution's usual methods for taking action on loans which are not enrolled as Qualified Loans under the Program.
- The PFI warrants that it ☐ has ☐ has not made claims concerning the Qualified Loan with other government programs substantially similar to the Program—including but not limited to loan loss reserve or loan guarantee programs.
 - If other claims have been made, \$ _____ amounts of reimbursements anticipated or received.
 - If no such claim is being made, explain why: _____

and the PFI warrants that no claim will be made, or in the event a claim is made that some or all of any reimbursement received shall be paid to the Authority in an amount necessary to ensure that the Participating Financial Institution does not receive more than the amount allowed pursuant to Section 10056(d) of the Program regulations.

Authorized Signature _____ Printed Name _____ Title _____ Date _____

California Alternative Energy and Advanced Transportation Financing Authority Use Only

Authorized Signature	Date	Loan Enrollment #	Reimbursement Amount
_____	_____	_____	\$ _____

* All capitalized terms are defined in Section 10050 of the Clean Energy Upgrade Financing Program regulations.